



1632

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Assistant Commissioner of Patents
Crystal Park One
Room 800
Washington, D.C. 20231

November 28, 2001

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(201) 487-5800

FACSIMILE: (201) 343-1684

WWW.KJILAW.COM

email: info@kjiplaw.com

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Attention: Refund Section,
Accounting Division
Office of Finance

Re: REQUEST FOR REFUND - Deposit Account No. 11-1153
Statement Dated: June 29, 2001
Our File: 600-1-192N2
Serial No.: 09/619,364

Dear Sir:

We formally request herein a credit to be applied to the above-mentioned Deposit Account, due to discrepancies in charges in the statement of June 29, 2001. A copy of the statement, with the discrepancies highlighted, is enclosed for your reference.

The items refer to Serial No. 09/619,364: a charge of \$243.00 bearing Control Number 5 and a charge of \$40.00 bearing Control Number 6.

A Submission of Missing Parts of Application Under 37 CFR 1.53(d) was filed on February 1, 2001. At the time of filing we forwarded our check No. 12315 for \$758.00 to cover the Filing Fee, which included:

Filing Fee	:	\$355.00
Claims over 20	:	\$243.00
One Independent Claim	:	\$ 40.00
Surcharge	:	\$ 65.00
Fee for Three Month Extension	:	\$ 55.00
Total	:	\$ 758.00

ASSISTANT COMMISSIONER FOR PATENTS

November 28, 2001

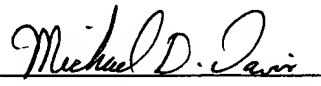
Page - 2 -

Docket No.: 600-1-192N2

A copy of the Submission of Missing Parts, postcard and check are enclosed.

To summarize, we request that a total of \$283.00 is due and should be credited to the above-noted Deposit Account. Such action is accordingly requested, and written confirmation that this action has been taken is likewise requested herein.

Respectfully submitted,

A handwritten signature in cursive script, reading "Michael D. Davis", is written over a horizontal line.

Michael D. Davis, Ph.D.
Attorney for Applicant
Registration No. 39,161

ENCLOSURES



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Serial No. 09/619,364 File No. 600-1-192N2 By DAJ/MDD/bs

Title METHODS OF PERFORMING HOMOLOGOUS ...

In the Matter of the Application of HEINTZ et al.

The following was received in the U.S. Patent & Trademark Office on the date stamped hereon: VIA FIRST CLASS MAIL

Missing Parts

- | | |
|--|--|
| <input checked="" type="checkbox"/> Transmittal Sheet/Cover Ltr. | <input checked="" type="checkbox"/> Extension of Time <u>one month</u> |
| <input type="checkbox"/> Application For Patent | <input type="checkbox"/> Issue Fee Transmittal |
| (2) <input checked="" type="checkbox"/> Declaration <input type="checkbox"/> Affidavit <u>executed</u> | <input type="checkbox"/> Maintenance Fee |
| <input type="checkbox"/> Drawings <input type="checkbox"/> Sheet(s) | <input type="checkbox"/> Appln. TM Registration |
| <input type="checkbox"/> S.E. Verified Statements | <input type="checkbox"/> 8 & 15 Declaration |
| <input type="checkbox"/> Assignment | <input type="checkbox"/> Renewal Application |
| <input checked="" type="checkbox"/> Check for \$ <u>758.00</u> | <input type="checkbox"/> Notice of Appeal |
| <input type="checkbox"/> Letter <input type="checkbox"/> Missing Parts | <input type="checkbox"/> Brief <input type="checkbox"/> Petition |
| <input type="checkbox"/> Priority Document | <input type="checkbox"/> Power of Attorney |
| <input type="checkbox"/> Amendment <input type="checkbox"/> Response | <input type="checkbox"/> _____ |

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SPECIAL ACCOUNT
411 HACKENSACK AVENUE
HACKENSACK, NJ 07601

12315

55-218/212
11

PAY
TO THE
ORDER OF

COMMISSIONER OF PATENTS AND TRADEMARKS

DATE 2/1/01

\$ 758.00

Seven hundred fifty eight and 00/100 DOLLARS

THIS CHECK IS DELIVERED IN CONNECTION WITH THE FOLLOWING ACCOUNTS			
600-1-192 N2			
09/619364			
MP			

Joann Rodgers

⑈012315⑈ ⑆021202162⑆ 134⑈00688 7⑈



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February 1, 2001

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ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Attention: Box Missing Parts
Application Processing Division
Special Processing and Correspondence Branch

Re: U.S. Patent Application

Applicant(s)	:	Nathaniel Heintz <i>et al.</i>
Serial No.	:	09/619,364
Filed	:	July 19, 2000
Title	:	METHODS OF PERFORMING HOMOLOGOUS RECOMBINATION BASED MODIFICATION OF NUCLEIC ACIDS IN RECOMBINATION DEFICIENT CELLS AND USE OF THE MODIFIED NUCLEIC ACID PRODUCTS THEREOF
Docket No.	:	600-1-192N2

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to BOX MISSING PARTS, ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231 on February 1, 2001.

Michael D. Davis Reg. No. 39,161
(Name of Registered Representative)

Betty Schultz 2/1/01
(Signature and Date)

SUBMISSION OF MISSING PARTS OF APPLICATION
UNDER 37 CFR 1.53(d)

Sir:

Responsive to the Notice to File Missing Parts of Application dated November 1, 2000, (copy

ASSISTANT COMMISSIONER FOR PATENTS

February 1, 2001

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Docket No.: 600-1-192N2

enclosed) under 37 CFR 1.53(d), Applicants submit herewith the following:

1. A combined Declaration and Power of Attorney in two (2) counterparts making reference to the above-identified application, and in compliance with 37 CFR 1.63;
2. A Petition for a One-Month Extension of Time under 37 CFR 1.136.
3. A check in the amount of \$758.00, covering the following:
 - (a) Filing Fee under 37 CFR 1.16 (including multiple dependent claims) : 638.00
 - (b) Surcharge under 37 CFR 1.16 : 65.00
 - (c) Fee for One-Month Extension of time under 37 CFR 1.17(a) : 55.00
 - (d) Small entity status is entitled to be asserted for the above-identified application under 37 C.F.R. §1.27(c).

The time set for this response is February 1, 2001 with a One-Month Extension of Time.

A correct Filing Receipt reflecting the above and attached information is requested.

Applicants hereby authorize that any charges in addition to the above authorized that relate to the filing and processing of the present Application in accordance with 37 CFR 1.16 and 1.17 may be charged to Deposit Account No. 11-1153.

Respectfully submitted,



MICHAEL D. DAVIS
Attorney for Applicant(s)
Registration No. 39,161

MDD/bls
Enclosures



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

MONTHLY STATEMENT
OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and return top portion with your check. Make check payable to Commissioner of Patents & Trademarks.

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Page	1

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PLEASE SEND REMITTANCES TO:
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DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
6	4	01	113	09870594	549/1/038	101	STK 710.00	13146.50
6	7	01	1	08943318	1499-1-001	228	DAT 945.00	12201.50
6	13	01	109	09876773	600-1-195C	103	MDD 98.00	12103.50
6	18	01	3	09694203	2240-1-001	704	CS -435.00	12538.50
6	18	01	4	09694203	"	201	355.00	12183.50
6	18	01	5	09694203	"	204	135.00	12048.50
6	18	01	6	09694203	"	203	63.00	11985.50
6	20	01	3	09290333	1340-1-002	115	MDD 110.00	11875.50
6	21	01	1	09287070	1340-1-024CI	231	CP 355.00	11520.50
6	21	01	1	09488132	600-1-236N	102	CD 80.00	11440.50
6	22	01	1	09490624	600-1-001P	992074	SC 55.00	11385.50
6	22	01	2	09490624	"	P992074	SC 40.00	11345.50
6	22	01	10	60266894	2598-1-001	704	DAT -25.00	11370.50
6	25	01	5	09619364	600-1-192N2	203	MDD 243.00	11127.50
6	25	01	6	09619364	600-1-192N2	202	40.00	11087.50
6	25	01	6	09777549	1112-1-060 P	204	MAY 135.00	10952.50
6	25	01	16	PCT/US00/19912	2275-1-007R	704	MAY -110.00	11062.50
6	25	01	71	2338895	3393028	482	25.00	11037.50
6	27	01	76	09081920	301/1/043CIP	103	STK 2.00	11035.50

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6-29-01

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AN AMOUNT SUFFICIENT TO COVER ALL SERVICES REQUESTED MUST ALWAYS BE ON DEPOSIT.	OPENING BALANCE 13856.50	TOTAL CHARGES 3391.00	TOTAL CREDITS 570.00	CLOSING BALANCE 11035.50
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*** O.D. INDICATES OVERDRAWN